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			Applicati n Number	09/888,309		
TRANSMITTAL FORM			Filing Date	21 June 2001		
			First Named Inventor	Melissa K. Carpenter		
(to be used for a	ll correspondence after	initial filing)	Group Art Unit	1632		
			Examiner Name	Thaian N. Ton		
Total Number of Pages in This Submission			Attorney Docket Number	090/002 حي ال		
7471		ENCL	OSURES (check	all that apply)		
Fee Transmittal Form Fee Attached Amendment / Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53		Assignment Papers (for an Application) Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Tameiral Disabinary		After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): PTO Form 1449; References (3)		
	SIGNATUR	RE OF APPLIC	CANT, ATTORNEY, OR A	AGENT		
Firm or Individual name	Λ.	J. Michael Schiff, Registration No. 40,253				
Signature		Club				
Date		January 18, 2002				
	CE	RTIFICATE (OF HAND DELIVERY			
I hereby certify that this correspondence is being delivered by hand to the U.S. Patent Office in accordance with 37 CFR 1.6(b), addressed to the Assistant Commissioner for Patents, Washington, DC 20231 on this date:						
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Signature Signature Date 1/23/02						

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Náme

Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of: Carpenter et al.

Serial No.: 09/888,309

Filing Date: June 21, 2001

For: DIRECT DIFFERENTIATION OF HUMAN

PLURIPOTENT STEM CELLS AND

CHARACTERIZATION OF DIFFERENTIATED

CELLS

Art Unit: 1632

Examiner: Ton, Thaian N.

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

The information listed in the accompanying form PTO-1449 and provided herewith may be material to examination of this application and is submitted in compliance with the duty of disclosure under 37 CFR § 1.56. The Examiner is requested to make this information of record in the application.

PATENT Serial No.09/888,309

Docket: 090/002

This Information Disclosure Statement is not to be construed as a representation that a full search for relevant information has been made, that all relevant information has been found, or that the information provided with this Statement is considered to be material to patentability of the claimed invention as defined under 37 CFR § 1.56(b).

It is believed that no fee is required for submission of this Statement, which is filed before the first Office Action on the merits of the application. Nevertheless, should a fee be required for consideration of this Statement and the listed information, the Assistant Commissioner is authorized to charge such fee to Deposit Account No. 07-1139, referencing the attorney Docket Number indicated above.

Respectfully submitted,

J. Michael Schiff

Registration No. 40,253

GERON CORPORATION 230 Constitution Drive Menlo Park, CA 94025 Telephone: (650) 473-7715

Fax: (650) 473-8654

DATE: January 19, 2002

Form 1449 (modified)

Inf rmati n Disclosur Stat ment By Applicant

(Use Several Sheets if Necessary)

Docket: 090/002 Supplemental

U.S.S.N. 09/888,309

Title: Direct Differentiation of Human Pluripotent Stem Cells and Characterization of

Differentiated Cells

Inventors: Melissa K. Carpenter, Walter D. Funk, R. Scott Theis

Filing Date: June 21, 2001

Group: 1632

U.S. Patent Documents

Examiner Initial	Ref.	Patent No.	Filing Date	Issue Date	Class/ Subclass	Inventors:	Title:
(none)							

Foreign Patent or Published Foreign Patent Application

	Examiner Ref.	Document Document	Publ. Juris-	Title:	Translation			
	Initial	nei.	No.	Date	diction	Title.	Yes	No
Г								
	(none)							
- 1								

Other Documents

Examiner Initial	Ref.	Author, Title, Date, Source				
	DA	Lamb, T.M., et al., Neural Induction by the Secreted Polypeptide Noggin, Science 262:713 (1993)				
	DB	Lim, D.A., et al., Noggin Antagonizes BMP Signaling to Create a Niche for Adult Neurogenesis, Neuron 27:713 (2000)				
	DC	Sasal, Y., et al., Regulation of Neural Induction by the Chd and Bmp-4 Antagonistic Patturning Signals in Zenopus, Nature 376:333 (1995)				

Examiner	Date Considered	